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CONCERNING A FILING UNDER 35 U.S.C. 371

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INTERNATIONAL APPLICATION NO.

INT'L FILING DATE

PRIORITY DATE  
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June 23, 1999

PCT/US00/17363

June 23, 2000

TITLE OF INVENTION. **HIGH TEMPERATURE MODELING APPARATUS**

APPLICANT(S) FOR DO/EO/US: **Stratasys, Inc.; 14950 Martin Drive, Eden Prairie, MN 55344-2020 (US)**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. ☒ Submission Under 37 C.F.R. 3.73(b)
  - b. ☒ Power of Attorney

U.S. APPLICATION NO. <b>10/018673</b>		INTERNATIONAL APPLICATION NO. PCT/US00/10592		ATTORNEY'S DOCKET NUMBER S697.12-0036	
17. [X] The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):				CALCULATIONS PTO USE ONLY	
International preliminary examination and search fees not paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$ 1000.00					
International Search Report prepared by the EPO or JPO ..... \$ 860.00					
International search fee paid to the USPTO ..... \$ 710.00					
International preliminary examination fee paid to USPTO ..... \$ 690.00					
International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$ 100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 1,000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20_ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total claims	22 - 20 =	2	X \$18.00	\$ 36.00	
Int'l Claims	3 - 3 =	0	X \$80.00	\$ -0-	
Multiple dependent claim(s) (if applicable)			+ \$276.00	\$ -0-	
TOTAL OF ABOVE CALCULATIONS			=	\$ 1,036.00	
Reduction by 1/2 for filing by small entity, if applicable Small Entity Statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28).				\$ 00	
SUBTOTAL =				\$ 518.00	
Processing fee of \$130.00 for furnishing the English translation later than 20_ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 518.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet. (37 C.F.R. 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 558.00	
				Amount to be refunded	\$
				Charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$558.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 11-0982 in the amount of <u>      </u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0982. A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status					

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## HIGH TEMPERATURE MODELING APPARATUS

### BACKGROUND OF THE INVENTION

- The present invention relates to the fabrication of three-dimensional objects using additive process modeling techniques. More particularly, the invention relates to modeling machines which form three-dimensional objects in a heated chamber by depositing modeling material from a dispensing head onto a modeling base as the dispensing head and the base are moved in three-dimensions with respect to each other.
- Additive process modeling machines make three-dimensional models by building up a modeling medium, usually in planar layers, based upon design data provided from a computer aided design (CAD) system. A mathematical description of a physical part to be created is split into (usually) planar layers, and those layers are individually shaped and applied to produce the final part. Three-dimensional models are used for functions including aesthetic judgments, proofing the mathematical CAD model, forming hard tooling, studying interference and space allocation, and testing functionality. The dominant application of layered manufacturing in recent years has been for rapid prototyping.
- Examples of apparatus and methods for making three-dimensional models by depositing layers of flowable modeling material are described in Valavara U.S. Patent No. 4,749,347; Crump U.S. Patent No. 5,121,329, Batchelder, et al. U.S. Patent No. 5,303,141, Crump U.S. Patent No. 5,340,433, Batchelder, et al. U.S. Patent No. 5,402,351, Crump et al. U.S. Patent 5,503,785, Abrams et al. U.S. Patent 5,587,913, Danforth, et al. U.S. Patent No. 5,738,817, Batchelder, et al. U.S. Patent No. 5,764,521 and Comb et al. U.S. Patent No. 5,939,008, all of which are assigned to Stratasys, Inc., the assignee of the present invention. An extrusion head extrudes heated, flowable modeling material from a nozzle onto a base. The base comprises a modeling substrate which is removably affixed to a

- modeling platform. The extruded material is deposited layer-by-layer in areas defined from the CAD model, as the extrusion head and the base are moved relative to each other in three dimensions by an x-y-z gantry system. The material solidifies after it is deposited to form a three-dimensional model. It is disclosed that a thermoplastic material may be used as the modeling material, and the material may be solidified after deposition by cooling.

- Technology described in the aforementioned patents is commercialized in Stratasys FDM® modeling machines. The extrusion head, which includes a liquifier and a dispensing nozzle, receives modeling material in a solid form. The filament is heated to a flowable temperature inside the liquifier and it is then dispensed through the nozzle. Thermoplastic materials, particularly ABS thermoplastic, have been found particularly suitable for deposition modeling in the Stratasys FDM® modeling machines. A controller controls movement of the extrusion head in a horizontal x, y plane, controls movement of the build platform in a vertical z-direction, and controls the feeding of modeling material into the head. By controlling these processing variables, the modeling material is deposited at a desired flow rate in "beads" or "roads" layer-by-layer in areas defined from the CAD model to create a three-dimensional object that resembles the CAD model. The modeling material thermally solidifies, and the finished model is removed from the substrate.

- As a thermoplastic material cools, and particularly as it transitions from a flowable material to a solid, stresses caused by density changes of the thermoplastic (i.e., shrinkage) are generated in the material. These stresses can cause geometric distortion of a model. Accordingly, it is an objective in model building systems which employ thermal solidification to relieve the stresses caused by cooling, so as to minimize geometric distortion. Deposition materials other than thermoplastics, such as metals, thermoset polymers and composites share analogous challenges of minimizing geometric distortion produced

by changes in density, shear, temperature and pressure associated with the extrusion process. As disclosed in U.S. Patent No. 5,866,058, building the model in a chamber heated to a temperature higher than the solidification temperature of the thermoplastic or other thermally solidifiable modeling material, followed by gradual cooling, relieves stresses from the material. The stresses are annealed out of the model while it is being built so that the finished model is stress free and has very little distortion. As is further disclosed in the '058 patent, the temperature of the chamber should be maintained below the glass transition temperature ( $T_g$ ) of the modeling material, so that the model does not become so weak that it droops. The preferred temperature of the build chamber is in a range between the material's solidification temperature and its creep relaxation temperature (creep relaxation temperature is defined as the point at which the stress relaxation modulus has dropped by a factor of ten from its low temperature limit). In the case of ABS thermoplastic, the temperature window falls between approximately 70 °C and 90 °C.

Existing Stratasys FDM® machines build models in a chamber (also referred to as a build envelope or oven) heated to between 70 °C and 90 °C. The base on which the model is built is located in the heated chamber, as are the extrusion head and the x-y-z gantry. Placing the extrusion head and the x-y-z gantry in this heated environment has many disadvantages. The x-y-z gantry is comprised of motion control components, such as motors, bearings, guide rods, belts and cables. Placing these motion control components inside the heated chamber minimizes the life of these components. Additionally, the upper limit on the chamber temperature is constricted to a temperature at which the motion control components are operable. Such a limitation on the temperature of the chamber consequently limits the materials useful for modeling in the machine to those which will stress relieve at a relatively low temperature.

- Similarly, placing the extrusion head in the heated chamber in the Stratasys FDM® machines required that a cooling mechanism be provided to cool the modeling material feedstock as it is supplied to the head in solid form (either as a filament or a wafer of material). A mechanism for cooling wiring harnesses is also provided.
- In the event of a power failure or power down, the material feedstock and the wiring harnesses that are normally cooled are exposed to the oven temperature. Finally, as a practical matter, in the event that adjustment, servicing, repair, replacement or of the motion control components or of the extrusion head are required, an operator must work inside the chamber. As such, the chamber must be cooled before these activities can be safely performed.

#### BRIEF SUMMARY OF THE INVENTION

- The present invention is a three-dimensional modeling apparatus having motion control components located external to a thermally insulated chamber in which objects are built. Three-dimensional objects are formed by dispensing modeling material from a dispensing head onto a base as the dispensing head and the base are moved in three-dimensions relative to one another in a pattern determined by control signal from a controller. In a preferred embodiment, an x-y gantry moves the dispensing head in an x,y plane and a z-lift moves the base in a vertical z-direction. In this embodiment, a deformable thermal insulator forms a portion of the build chamber through which the dispensing head is moved, the dispensing head having a modeling material dispensing outlet inside of the build chamber and a modeling material receiving inlet external to the build chamber. The z-lift is coupled to the base through sealed slits in a wall of the build chamber.

- There are a number of advantages to thermally insulating motion control components from the build chamber. Because the temperature of the build chamber is not limited by the operating temperature of the motion control components, the machine of the

present invention permits building models from materials that stress relieve at a relatively high temperature. Further, the life of the motion control components is not negatively effected by the temperature of the build chamber. Thermal insulation of motion control components from the build chamber also increases ease of use in the event that the user needs to access and touch these components. The increased life of the motion control components and the increased ease of use of the apparatus of the present invention result in increased throughput and reliability.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective, diagrammatic view of a three-dimensional modeling apparatus according to the present invention.

Figure 2 is a front sectional view of the three-dimensional modeling apparatus shown in Figure 1, taken along a line 2-2.

Figure 3 is a top view of the x-y gantry, the deformable thermal insulator (portions broken away) and the extrusion head.

Figure 4 is a schematic representation of the x-axis drive system.

Figure 5 is a schematic representation of the y-axis drive system.

Figure 6 is a bottom plan view of an air deflector for use in the apparatus of the present invention.

Figure 7 is a side elevation of the air deflector shown in Figure 6.

Figure 8 is a rear elevation of the air deflector shown in Figure 6.

Figure 9 is a perspective view of a nozzle cleaning assembly for use in the apparatus of the present invention.

#### DETAILED DESCRIPTION

The apparatus of the present invention is an apparatus that builds three-dimensional objects in a heated chamber using a fused deposition process, wherein motion control components are isolated

from the heated chamber so that the temperature in the heated chamber is not limited by the temperature limits of the motion control components. The apparatus enables the building of objects from materials that have a high glass transition temperature and thus must  
5 be deposited into a high temperature chamber in order for a high quality model to result. These materials include high temperature thermoplastics, such as polycarbonate, polyetherimide, polysulfone, polyethersulfone, amorphous polyamides and others.

A preferred embodiment of the three-dimensional  
10 modeling apparatus according to the present invention is shown in Figure 1. The apparatus 10 comprises an oven 12, an extrusion head 14, a platform 16, an x-y gantry 18 which moves the extrusion head 14 in a horizontal x,y plane, a z-lift 20 which moves the platform 16 along a vertical z-axis, and a frame 22. The oven 12 stands on legs 23 which  
15 are mounted inside of the frame 22. The frame 22 lines the outer perimeter of the oven 12 (shown in Fig. 1 with portions broken away), and supports the oven 12, the x-y gantry 18 and the z-lift 20 in a defined configuration.

The platform 16 is contained in a heated build chamber 24  
20 of oven 12, beneath the extrusion head 14. The apparatus 10 builds three-dimensional objects in build chamber 24 by depositing modeling material from extrusion head 14 onto the platform 16, while the extrusion head 14 is moved in the x,y plane and the platform 16 is moved along the z-axis. To support an object as it is being built, the  
25 platform 16 must have an upper surface to which the modeling material will adhere. Preferably, a modeling substrate is mounted on top of the platform 16 upon which the object is built. Use of a modeling substrate allows for easy removal of the model from the apparatus after completion of the model. A modeling substrate selected for use in the  
30 present invention should be suitable for use in a high temperature environment. For example, the platform 16 may be a vacuum platen having a flexible sheet substrate removably mounted thereon, as is



disclosed in Comb et al. U.S. Patent No. 5,939,008. The flexible sheet substrate adheres to the platform when a vacuum pump is turned on and is released from the platform when the pump is turned off. For adaptation to the high temperature environment of the present invention, a heat exchanger (e.g., an in-line heat exchanger coil) may be necessary to cool the air flowing into the vacuum pump. The material chosen for use as a flexible sheet substrate should be a material that will bond with the modeling material. In building a model made of a high temperature thermoplastic, a flexible sheet of high temperature thermoplastic is a preferred substrate. A model made of Ultem™ has been successfully built in a chamber heated to approximately 200 °C, on a thin sheet of Ultem™ mounted on a vacuum platen.

The build chamber 24 of the oven 12 is accessible through an insulated oven door 25. As shown in Figure 2, the build chamber 24 has a floor and right and left sides which are surrounded by a heating duct 26. The heating duct 26 is formed of sheet metal and opens to the build chamber 24 at the top right and top left sides of the chamber 24. A pair of blowers 28 (one shown), located underneath the oven 12 on the right hand side, create a clockwise air flow path through the heating duct 26. Thus, the opening at the top right side of the chamber 24 is an air intake vent 30 and the opening at the top left side of the chamber 24 is an air exhaust vent 32. Two identical banks of six 750 Watt fin-strip heaters 34 are mounted in the heating duct 26 on the air intake side thereof. A venturi 36 is positioned in the heating duct 26 to create a straight flow pattern of air returning to the build chamber 24 through the air exhaust vent 32. The build chamber 24 is heated by convection as air is pulled through the heaters 34, circulated through the duct 26, and returned to the chamber. A sheaf of insulation 37 surrounds the right and left side, the bottom, and a back side of the oven 12 to keep in the heat (insulation 37 is removed in Fig. 1 for purposes of illustration). The build chamber 24 is designed to reach temperatures of at least 200 °C. Preferably, a thermocouple and a thermal fuse are placed over the

air intake vent 30 so that the temperature in the chamber 24 may be monitored, and, if the chamber 24 becomes too hot, the heaters 34 can be shut off.

The platform 16 is held up inside of the build chamber 24 by the z-lift 20, as shown in Figures 1 and 2. The z-lift 20, which is located external to the oven 12, is formed of two of two identical I-sections 38, a z-motor 40 and a timing belt 42. The I-sections 38 are secured to the frame 22 in parallel on right and left sides of the oven 12. The platform 16 is coupled to each I-section 38 of the z-lift 20 by a pair of rigid, horizontal beams 44 which support platform 16 in a horizontal plane. Each beam 44 extends through a vertical slit 46 in the oven 12, allowing the z-lift 20 to move platform 16 vertically within the build chamber 24. On each side of oven 12, there is one slit 46 to the front of the heating duct 26 and another slit 46 to the rear of the heating duct 26. The slits 46 are sealed with flexible strips of silicone to as to minimize heat transfer from the chamber 24 to the z-lift 20. The beams 44 are made of a low conductivity metal such as stainless steel, and are drilled with many holes to further decrease the heat transfer from the chamber 24 to the z-lift 20.

Each I-section 38 is comprised of a vertical plate 48 to which one pair of the beams 44 connect, a lead nut 50 mounted on the vertical plate 48, and a vertical lead screw 52 on which the lead nut 50 rides up and down. The lead screw 52 connects to the underside of a horizontal upper plate 54 at its top end, extends through a horizontal lower plate 56 near its bottom end, and couples to a pulley 58 at its bottom end. The lead screw 52 rotates with rotation of the pulley 58. The pulleys 58 of each I-section 38 are coupled to each other by the timing belt 42.

The pulley 58 of the right-hand I-section 38 is driven by the z-motor 40, which is preferably a servo motor. The z-motor 40 can selectively drive the pulley 58 in either a clockwise or a counterclockwise direction. The timing belt 42 synchronizes movement of the two pulleys

58. The synchronous rotation of the pulleys 58 effects synchronous rotation of the lead screws 52. As the lead screws 52 rotate, the lead nuts 50 move either up or down, causing each vertical plate 48 to be either raised or lowered, depending upon the direction of the pulley rotation.

Two pairs of linear guide bearings 60 are coupled to each vertical plate 48, on opposite sides of the lead nut 50. Each pair of guide bearings 60 has an associated guide rail 62, which extends through each the guide bearings 60 in parallel with the lead screw 52.

10 The top of each guide rail 62 connects to the underside of upper plate 54, and the bottom of each guide rail 62 connects to the top of lower plate 56. The guide bearings 60 rides along the guide rails 62, providing lateral support for the vertical plate 48 as it travels up and down. Pairs of brackets 63 connected to the upper plate 54 and to the

15 lower plate 56 of each I-section 38 mount the I-sections 38 to the frame 22.

The extrusion head 14 is moved in an x,y plane above the platform 16 by the x-y gantry 18, which is mounted on top of the oven 12. As shown in Figure 2, the extrusion head 14 has an inlet 63 for receiving modeling material and an outlet nozzle 66 for dispensing the modeling material onto the platform 16 in a flowable state. The nozzle outlet 66 will typically be heated so as to deposit the modeling material at a predetermined temperature. The extrusion head nozzle 66 is located inside of the build chamber 24. In the preferred embodiment,

20 the extrusion head inlet 63 is located external to the build chamber 24. Hence, the extrusion head 14 extends through the top of the oven 12. Because the extrusion head 14 of the preferred embodiment must be allowed to move in the x,y plane, the top of the oven 12 is formed of a deformable thermal insulator, which is described in detail below.

30 The x-y gantry 18 is shown in Figures 1-3. The x-y gantry 18 comprises a base. Plate 64, a bridge 65, a pair of x-rails 68, a pair of y-rails 70, a carriage 72, an x-motor 74, a y-motor 76, an x-drive belt

78, a y-drive belt 80 and various pulleys. The base plate 64, which has an open center, sits on top of the upper plates 54 of the z-lift 20 and is secured to the frame 22. The bridge 65 has an open center and extends across base plate 64 in the y-direction. The x-rails 68 are mounted on top of base plate 64 beneath forward and rearward ends of the bridge 65, and extend along the base plate 64 in the x-direction. The bridge 65 is coupled to the rails 68 by two pairs of bearings 82. One pair of the bearings 82 are mounted to the underside of the forward end of the bridge 65, and the other pair of bearings 82 are mounted to the underside of the rearward end of bridge 65. Bearings 82 permit movement of the bridge 65 along the x-rails 68.

The y-rails 70 are mounted to the underside of the bridge 65 on opposite sides of the open center portion thereof. The y-rails 70 run parallel to each other in the y-direction. The carriage 72 is coupled to the y-rails 70 by two opposed pairs of bearings 84. The carriage 72, seated on the y-rails 70, is positioned in the open center portion of the bridge 65. The extrusion head 14 is mounted to the carriage 72, so that movement of the carriage 72 along the y-rails 70 moves the extrusion head 14 in the y-direction. The extrusion head 14 is mounted in the carriage 72 such that the extrusion head inlet 63 is above the bridge 65 and the extrusion head nozzle 66 is below the bridge 65.

The x-motor 74, via x-belt 78 and several pulleys, moves the bridge 65 back and forth along the x-rails 68, to effect movement of the extrusion head 14 in the x-direction. The y-motor 76, via y-belt 80 and several pulleys, moves the carriage 72 along the y-rails 70 to effect movement of the extrusion head 14 in the y-direction. This system is known as an H-bridge gantry.

A schematic representation of the x-axis drive system is shown in Figure 4. As shown, x-belt 78 is driven by the x-motor 74 by rotation of a drive pulley 86. The x-belt 78 is secured at a tie-down 88, sequentially wraps around pulleys 90, 92, 94, 96, 86, 98, 100 and 102, and is then secured again at a tie-down 104. Pulleys 90, 96 and 102

are mounted on the bridge 65, while pulleys 92, 94, 98 and 100 are mounted on the base plate 64.

- The y-axis drive system is shown schematically in Figure 5. The y-belt 80 is driven by the y-motor 76 by rotation of a drive pulley 106. The y-belt 80 is secured at a tie-down 108, wraps in sequence around pulleys 110, 112, 114, 116, 118, 106, 120, 122 and 124, and is then secured again at a tie-down 126. Pulleys 110, 114, 120 and 124 are mounted to the bridge 65, pulleys 116 and 118 are mounted to the base plate 64, and pulleys 122 and 112 are mounted to the carriage 72.
- 10 The pulleys 110, 114 and 124 share the same axis of rotation as pulleys 90, 102 and 96, respectively, of the x-axis drive system.

- Preferably, the x-motor 74 and the y-motor 76 are servo motors. In the preferred embodiment, both motors are stationary to reduce the weight of moving components, thus resulting in the fairly extensive belt routing configuration. The tension in the x-belt 78 may be adjusted by adjustment at the tie-downs 88 and 104. The tension in the y-belt 80 may be adjusted by adjustment at the tie-downs 108 and 126.
- 15

- The motion control components of the x-y gantry 18 are located external to and are insulated from the build chamber 24 by a deformable thermal insulator comprising two sets of insulating accordion-folding baffles, as shown in Figures 1-3. An x-baffle tray 130, having an open center, is attached to and lines the interior perimeter of the base plate 64 to support a set of x-baffles 132. The x-baffles 132 extend from the base plate 64 to the bridge 65, on both the right and left sides of the bridge 65. A y-baffle tray 134, also having an open center, sits beneath and is attached to the underside of the bridge 65 to support a set of y-baffles 136. The set of y-baffles 136 extend from the base plate 64 to the extrusion head 14, on both the front and back side of the extrusion head. The x-baffles 132 are secured to the bridge 65 by attachment to the y-baffle tray 134. In Figure 3, the sets of baffles 132 and 136 are removed in part, so as to show the trays 130 and 134.
- 20
- 25
- 30

The x-baffles 132 supported by tray 130 and the y-baffles 136 supported by tray 134 form a deformable, insulating ceiling of the build chamber 24. The x-baffles 132 compress and expand with the movement of the bridge 65 back and forth along the x-rails 68. The y-baffles 136 compress and expand with the movement of the carriage 72 along the y-rails 70.

In the preferred embodiment, the two sets of baffles 132 and 136 are made out of a high temperature cloth material, such as Teflon™ coated fiberglass. The baffles are sewn with octagonal cross-sections so as to allow expansion and contraction and to insulate the x-gantry 18 from the heat in the build chamber 24. Other baffle configurations are known in the art and could be used instead of the coated cloth. For example, the baffles could be welded out of thin stainless steel sheet or the baffles could be formed by sliding plates on plates. Optionally, a fan may be mounted over the x-gantry 18 so as to dissipate any heat that does escape from the build chamber 24, keeping the x-y gantry 18 at ambient temperature.

The apparatus 10 builds three-dimensional objects by depositing modeling material layer-by-layer from the extrusion head 14 onto the platform 16 under the control of a controller 140. The material is deposited in a fluent state and fuses to the previously deposited material. The controller 140 receives CAD data 142 defining an object to be built and generates drive signals based upon this data, in a known manner, as illustrated schematically in Figure 1. The drive signals are sent to the x-motor 74, the y-motor 76 and the z-motor 40, to control movement of the extrusion head 14 and platform 16. Also as illustrated in Figure 1, in synchrony with controlling movement of the extrusion head 14 and the platform 16, the controller 140 generates drive signals to control an extrusion pump 144. The extrusion pump 144 responsively feeds modeling material from a material supply 146 to the inlet 63 of extrusion head 14, and controls the rate of extrusion of the material from the nozzle 66. By controlling the rate of extrusion while moving the

extrusion head 14 over the platform 16 in a pattern determined by the CAD data, a three-dimensional object which resembles a CAD model is created.

In building an object, the platform 16 is initially placed in a raised position, in close proximity to the nozzle 66 of extrusion head 14. Deposition of the material may then take place by depositing successive horizontal layers of modeling material while incrementally lowering the platform 16 following the formation of each layer. Or, the layers can be formed in a vertical plane or in a plane oriented at any angle with respect to horizontal.

Those skilled in the art will recognize that enumerable modifications may be made to the deposition forming process to be carried out by the apparatus of the present invention and to the described embodiment of the apparatus. As an alternative to the gantry arrangement of the preferred embodiment, wherein the extrusion head 14 is moved in the x-y plane while the platform 16 is moved along the z-axis, the platform 16 may be supported for movement in an x-y plane with the extrusion head 14 mounted for movement along the z-axis towards and away from the platform 16. In such a case, the deformable thermal insulator would form a portion of the build chamber through which the gantry moves the platform. It will also be appreciated that movement in the x-y plane need not be limited to movement in orthogonal directions, but may include movement in radial, tangential, arcuate and other directions in the x-y plane. Likewise, in place of the x-y gantry 18 and the z-lift 20, an x-y-z gantry may take the form of any of the mechanical drive systems well known in the art, which are controllable by drive signals generated from a controller. In place of the x-baffles 132 and the y-baffles 136, any deformable thermal insulator may be used that is compatible with the build environment.

As a further alternative, it should be understood that the present invention may be practiced with advantage in an arrangement wherein the x-y gantry 18 is outside of and insulated from the build

chamber 24 but the z-lift 20 is inside the chamber 24. Where modeling material is deposited in layers from an extrusion head moved in an x-y plane by the gantry 18 as in the disclosed embodiment, movement in the x-y plane far exceeds movement of the base along the z-axis. So, the z-lift need not have the speed nor precision of the x-y gantry. In such a case, the x-y gantry may be considerably more costly than the z-lift, making replacement of the motion control components of the z-lift more feasible.

It will be understood by those skilled in the art that any movable head having at least one outlet for dispensing fluent modeling material may be used in carrying out the deposition process of the present invention, provided that the head can be controlled to dispense fluent material in a geometry determined by control signals. Such apparatus may include, for example, conventional piston or plunger types of dispensing mechanisms, or highly controllable screw-type feeders such as that disclosed in U.S. Patent No. 5,312,224.

Likewise, various forms of material feedstock may be used for the material supply 146. The modeling material may for example be supplied in solid form as a continuous flexible filament wound on a supply reel or as a solid rods of limited length, such as described in U.S. Patent No. 5,121,329. The modeling material may alternatively be supplied in the form of wafers, such as described in U.S. Patent No. 5,764,521, or the material may be pumped in liquid form from a reservoir, such as described in U.S. Patent No. 4,749,347. Regardless of the form in which the feed stock material is supplied to the dispensing head, the material supply pump must be controllable so that the dispensing rate of the material can be controlled accurately to form the three-dimensional object. The pump must be matched to the feedstock type and to the dispensing head.

The deposition process may be arranged so that multiple and different feedstock materials can be dispensed. In creating three-dimensional objects by depositing layers of solidifiable material,



- supporting layers or structures are generally needed underneath any overhanging portions of an object, or in cavities of an object under construction, which are not directly supported by the modeling material itself. It is well-known in the art that a support material may be
- 5 deposited in coordination with deposition of the modeling material to form these supporting structures or layers. In the practice of the present invention, a support structure may be built utilizing the same deposition techniques and apparatus by which the modeling material is deposited. Support material can be deposited either from a separate extrusion
- 10 head within the modeling apparatus, or by the same extrusion head that deposits modeling material. More specifically, the apparatus 10 may accommodate the dispensing of the two materials by: (1) providing two dispensing heads each held by a carriage, one supplied with modeling material and one supplied with support material; (2) providing a single
- 15 dispensing head supplied with both the modeling material and support material, with a single nozzle for dispensing both materials (such as shown in FIG. 6 of the '329 patent); or (3) providing a single dispensing head supplied with both materials, with each material dispensed through a separate nozzle (such as shown in FIG. 6 of the '785 patent).
- 20 Similarly, the apparatus may be designed to dispense two different modeling materials to form a multi-phase article or multi-colored article as well.

- When modeling with a material that absorbs moisture at a fast rate (as many high temperature thermoplastics do), the material
- 25 supply 146 should be protected from moisture. If moisture is absorbed by the modeling material, model quality can be impaired. For example, in modeling with Ultem™, a moisture content of greater than 0.05 percent has been found to impair model quality. A suitable dry environment can be achieved by active drying of the material supply
- 30 146. Or, the build environment could be depleted of oxygen and filled instead with another gas or gas mixture, eliminating the need for active drying.

In the embodiment shown, an air deflection duct 150 is mounted on the extrusion head 14, as shown in Figure 2, to prevent a hot spot from developing in the area of the nozzle 66. When forming a part that calls for only small movements of the extrusion head 14, heat radiated from the extrusion head 14 will tend to stagnate and create a hot spot at the nozzle 66. If a hot spot develops, the temperature of the extruded material surrounding the hot spot will increase. If the extruded material approaches its glass transition temperature, the material will deform and poor part quality will result. In prior art systems, which have a build chamber heated to between 70 °C and 90 °C, ambient air has been blown into the chamber to avoid development of a hot spot in the area of the nozzle. In a high temperature build environment, ambient temperature air would cool the extruded material too abruptly, causing curl stresses and resulting in geometric distortion of the object being built.

The air deflector 150, which is shown in Figures 6-8, uses air flow within the build chamber 24 to cool the nozzle area. As shown, the air deflector 150 has a nose 152 facing the air exhaust vent 32. Above the nose 152 is a pair of vanes 154, that split air flowing from the exhaust vent 32 into two streams. The air streams flow into two ducts 156, located on either side of the nose 152. The air ducts 156 angle downward and then inward towards one another, so that the airflow from both ducts is directed towards the nozzle 66. Air ducts 156 each have a forward vane 159 and a rearward vane 160 which further divide the airflow into three channels within each duct 156. The channels assure fairly even distribution of air flow to the nozzle area. It is not necessary, however, that the air ducts 156 be subdivided into multiple channels. Rather, any means of deflecting air flow to the nozzle area can be used with advantage in the present invention.

In building a model by deposition from an extruder, modeling material can accumulate on the nozzle and can eventually clog the nozzle. High temperature thermoplastics are particularly prone

to build up, as they tend to be sticky. Accordingly, the preferred embodiment of the present invention includes a nozzle cleaning assembly 170 for removing modeling material built upon the nozzle 66. The nozzle cleaning assembly 170, shown in Figure 9, is mounted near  
5 the top of the build chamber 24 on the right hand side thereof. Beneath the nozzle cleaning assembly is a waste chute 172 for catching loose modeling material. The waste chute 172 narrows and travels between the heating duct 26 and the vertical slits 46, to exit through the bottom of the oven 12. The assembly 170 includes two cleaners 174 mounted  
10 side-by-side in counter-rotating shafts 176 driven by a motor 178 (shown in Figure 1) in response to control signals from the controller 140. The motor 178 is mounted external to the oven 12.

As shown, each cleaner 174 is formed of a brush 180 and two criss-crossed flexible paddles 182, spaced apart and centered on a  
15 shaft 184. Steel is a preferred material for the brushes 180 and silicone is a preferred material for the paddles 182. In use, the extrusion head nozzle 66 is cleaned by the nozzle cleaning assembly 170 by driving the nozzle 66 back and forth between the cleaners 174, while the cleaners 174 are driven so as to impart a downward force against the nozzles.  
20 A rotational speed of 550 rpm is effective. The paddles 182 dislodge material from the nozzle 66, and the brushes 180 brush the material off of the nozzle 66. The loose material then drops into the waste chute 172. Preferably, a waste receptacle is placed under the exit of the waste chute 172. The cleaners 174 are removable from shafts 176, for  
25 cleaning or replacement. Those skilled in the art will recognize that many varieties of the nozzle cleaners 174 are possible, so long as the cleaners will remove material from the nozzle 66 when rotated against it.

Although the present invention has been described with  
30 reference to preferred embodiments, workers skilled in the art will recognize that changes may be made in form and detail without departing from the spirit and scope of the invention. For example, the

present invention is not limited to use in extrusion-based deposition modeling systems of the preferred embodiment described herein. Other additive process manufacturing techniques which form three-dimensional objects in a heated chamber can benefit from the present invention, such as systems that build three-dimensional objects by jetting droplets of solidifiable material, by consumable rod arc welding or by plasma spraying.

## CLAIM(S):

1. A three-dimensional modeling apparatus comprising a heated build chamber in which three-dimensional objects are built, a base located in the build chamber, a dispensing head for dispensing modeling material onto the base, the dispensing head having a modeling material dispensing outlet inside of the build chamber, and an x-y-z gantry coupled to the dispensing head and to the base for generating relative movement in three-dimensions between the dispensing head and the base, characterized in that:
  - 5 the x-y-z gantry is located external to the build chamber and is separated from the chamber by a deformable thermal insulator.
2. The apparatus of claim 1, wherein the deformable thermal insulator forms a portion of the build chamber through which the x-y-z gantry moves the dispensing head.
3. The apparatus of claim 2, wherein the x-y-z gantry comprises:
  - an x-y gantry coupled to the dispensing head to effect movement of the dispensing head in an x, y plane; and
  - 20 a z-lift coupled to the base to effect movement of the base along a z-axis.
4. The apparatus of claim 3, wherein the z-lift is coupled to the base through sealed slits in walls of the build chamber.
5. The apparatus of claim 1, wherein the deformable thermal insulator forms a portion of the build chamber through which the x-y-z gantry is coupled to the base.
6. The apparatus of claim 1, wherein the dispensing head has a modeling material receiving inlet external to the build chamber.
7. The apparatus of claim 1, and further comprising:
  - 30 a frame on which the x-y-z gantry and the build chamber are mounted.

8. The apparatus of claim 1, wherein the build chamber is heated to a temperature greater than 150°C.
9. The apparatus of claim 1, wherein the x-y-z gantry effects movement of the dispensing head and the base in three non-orthogonal  
5 degrees of freedom.
10. A three-dimensional modeling apparatus comprising:  
a heated build chamber;  
a movable base located in the build chamber;  
a movable dispensing head having an outlet for controllably dispensing modeling material, the outlet being located in the build chamber; and  
10 an x-y-z gantry coupled to the dispensing head and to the base for moving the dispensing head and the base in three-dimensions with respect to one another in synchrony with the dispensing of modeling material  
15 so as to build up a three-dimensional object of predetermined shape, the x-y-z gantry being located external to and in thermal isolation from the build chamber.
- 20 11. The modeling apparatus of claim 10, wherein the build chamber has a ceiling formed of a deformable thermal insulator through which the x-y-z gantry moves the dispensing head.
12. The modeling apparatus of claim 11, wherein the x-y-z gantry comprises:  
25 an x-y gantry coupled to the dispensing head to effect movement of the dispensing head in an x, y plane; and  
a z-lift coupled to the base to effect a movement of the base along a z-axis.
- 30 13. The modeling apparatus of claim 12, wherein the dispensing head has a modeling material receiving inlet located outside of the build chamber.

14. The modeling apparatus of claim 10, and further comprising:
- a frame external to the build chamber on which the x-y-z gantry and the build chamber are mounted.
- 5 15. The modeling apparatus of claim 10, wherein the build chamber is heated by convection such that an air flow pattern is created in the build chamber, and further comprising:
- a means mounted to the dispensing head for deflecting air in the flow pattern towards the dispensing head outlet.
- 10 16. The modeling apparatus of claim 10, and further comprising:
- a means for removing a build-up of modeling material from the dispensing head outlet.
- 15 17. The modeling apparatus of claim 10, wherein the build chamber is heated to a temperature greater than 150 °C.
18. A three-dimensional modeling apparatus comprising a heated build chamber in which three-dimensional objects are built, a base located in the build chamber, an dispensing head for dispensing modeling material onto the base, the dispensing head having a material dispensing outlet in the build chamber, and an x-y gantry coupled to the dispensing head for translating the dispensing head in an x, y plane, characterized in that:
- 20
- the x-y gantry is located external to and in thermal isolation from the build chamber; and
- a deformable thermal insulator forms a portion of the build chamber through which the x-y gantry moves the dispensing head.
- 25
19. The apparatus of claim 18, wherein the dispensing head
- 30 has a material receiving inlet outside of the build chamber.

20. The modeling apparatus of claim 18, wherein the build chamber is heated by convection such that an air flow pattern is created in the build chamber, and further comprising:

- 5 a means mounted to the dispensing head for deflecting air in the flow pattern towards the dispensing head outlet.

21. The modeling apparatus of claim 18, and further comprising:

- 10 a means for removing a build-up of modeling material from the dispensing head outlet.

22. The apparatus of claim 18, and further comprising:

- a z-lift located external to and in thermal isolation from the build chamber, the z-lift being coupled to the base through sealed slits in walls of the build chamber.



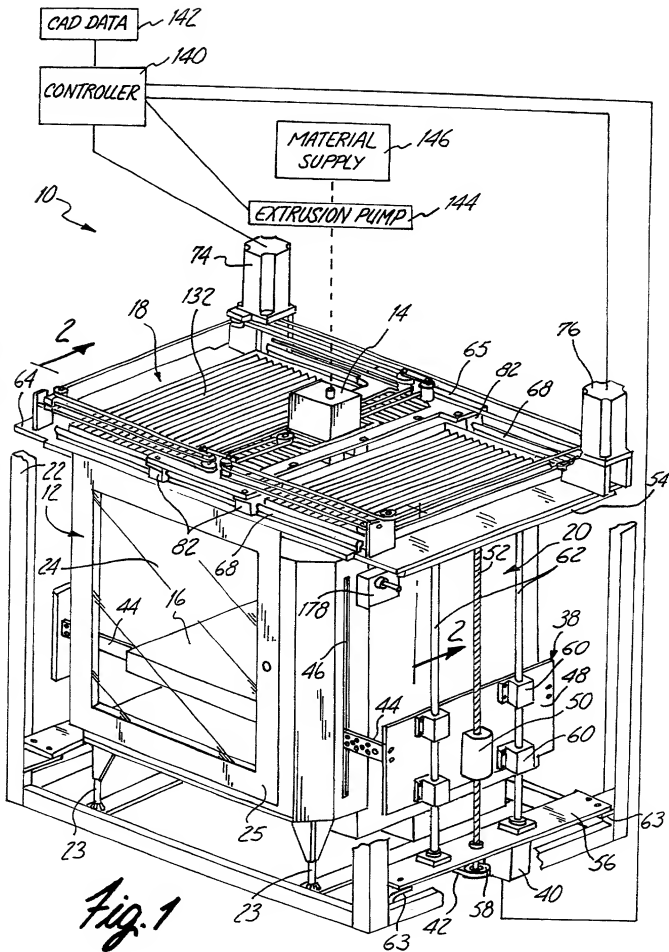
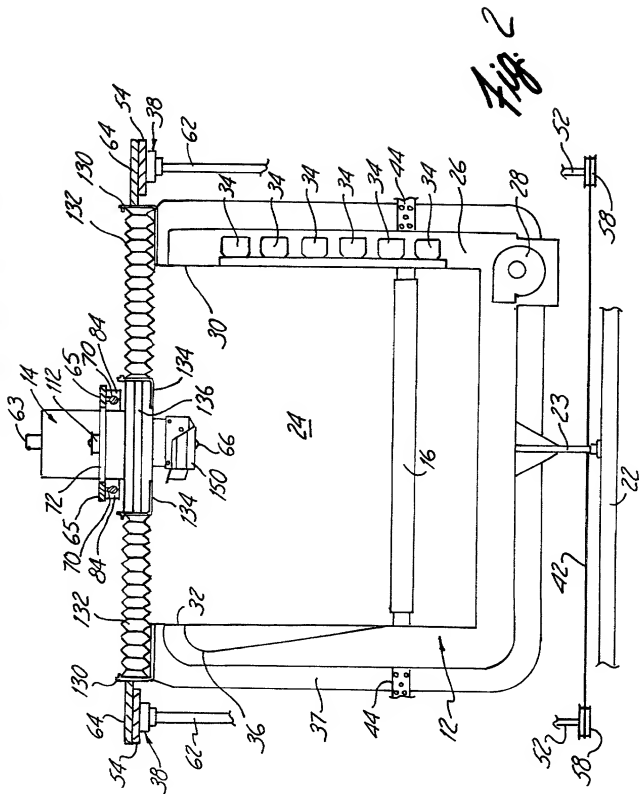
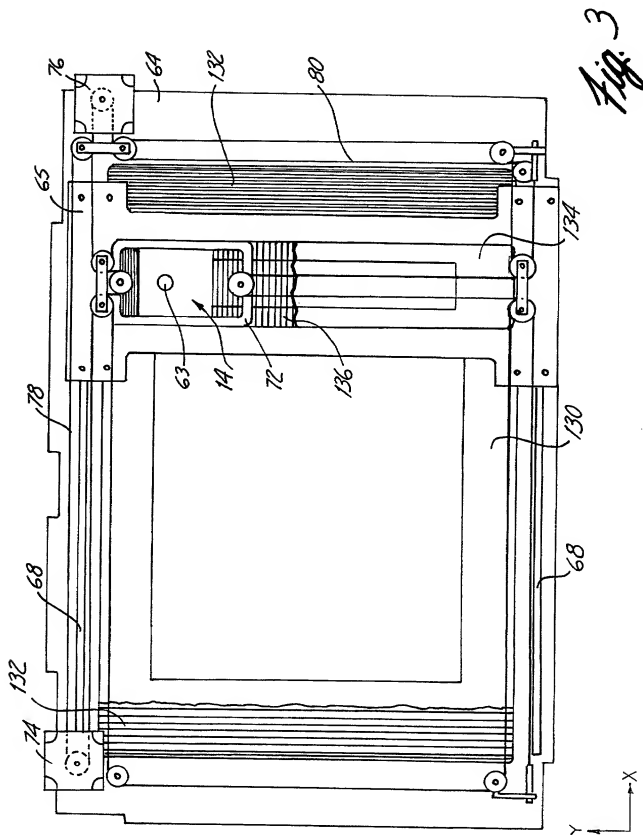
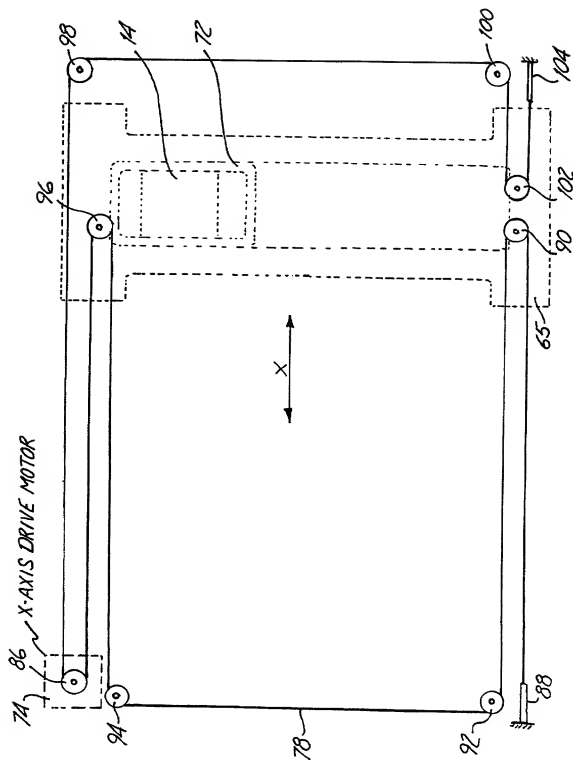


FIG. 2







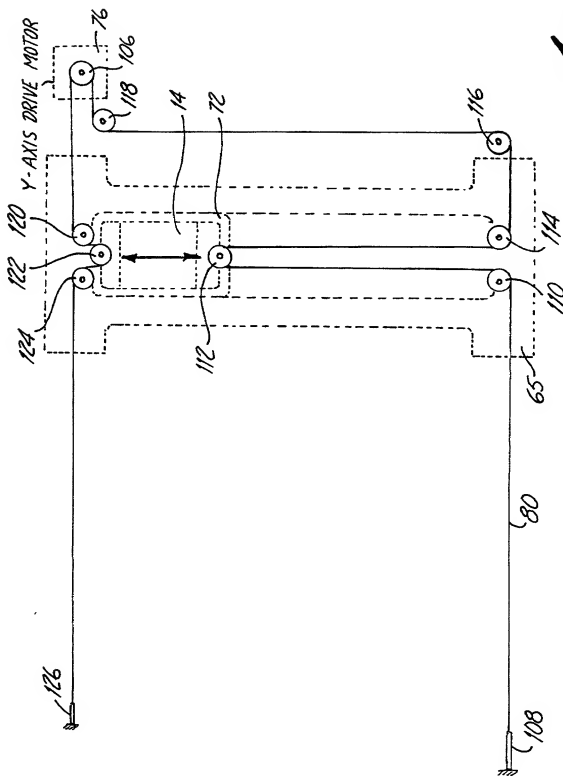


Fig. 5

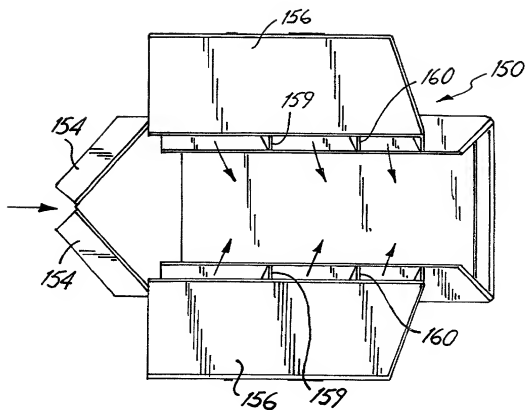


Fig. 6

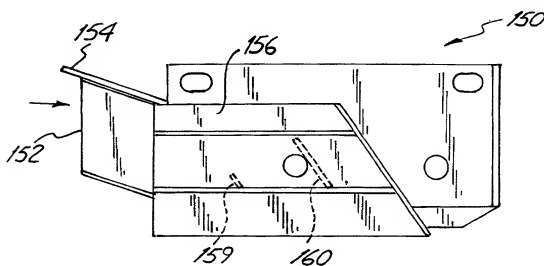
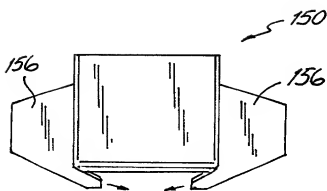
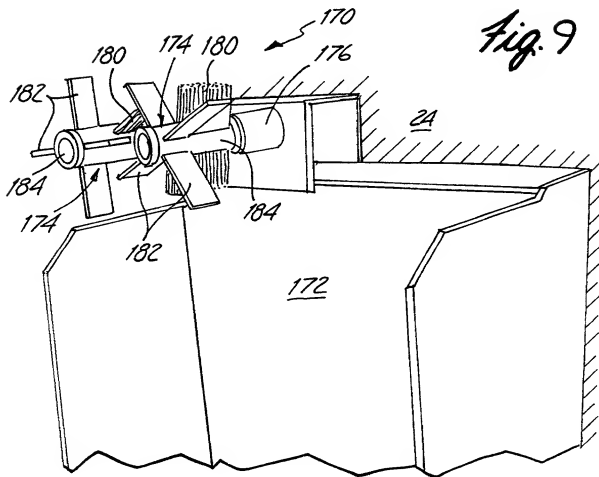


Fig. 7

*Fig. 8**Fig. 9*

<b>DECLARATION FOR UTILITY PATENT APPLICATION</b> (37 C.F.R. 1.63)		Attorney Docket No.	S697.12-0023
		First Named Inventor	William J. Swanson
		<b>COMPLETE IF KNOWN</b>	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	<input type="checkbox"/> Declaration Submitted after Initial Filing (Surcharge (37 C.F.R. 1.16(e)) Required)	Application Number	
		Filing Date	June 23, 2000
		Group Art Unit	
		Examiner Name	

As a below named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  
☒ SOLUBLE MATERIAL AND PROCESS FOR THREE-DIMENSIONAL MODELING

☒ the specification of which:

☐ is attached hereto OR

☐ was filed on \_\_\_\_\_ as United States Application Number

☒ was filed on June 23, 2000 as PCT International Application Number PCT/US00/17363 and was amended under PCT Article 19 on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

☒ I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56.

☒ I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Certified Copy Attached? Yes      No
PCT/US00/17363	PCT	06/23/2000	

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/140,613	June 23, 1999

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is or disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.



U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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DECLARATION FOR UTILITY  
PATENT APPLICATION  
(37 C.F.R. 1.63)

Attorney Docket No. S697.12-0023  
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DECLARATION FOR UTILITY  
PATENT APPLICATION  
(37 C.F.R. 1.63)

Attorney Docket No.

S697.12-0023

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